

Applicant: **milller, adam**
Organisation: **Yayasan Planet Indonesia**
Funding Sought: **£112,150.00**

DIR29CC\1124

Capacity building for community-led adaptive coastal resources management

This project will build the capability and capacity of community governance structures in five coastal villages in West Kalimantan, Indonesia, for adaptive management of mangrove and coastal fishery resources. Through the provision of trainings, technical support and tools, this project will support the governance bodies to adaptively manage local resources, for improved socio-ecological outcomes.

PRIMARY APPLICANT DETAILS

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Section 1 - Contact Details

PRIMARY APPLICANT DETAILS

Title Mr
Name adam
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Organisation Yayasan Planet Indonesia
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Tel (Work) [REDACTED]
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GMS ORGANISATION

| Type | Organisation |
|----------------|--|
| Name | Yayasan Planet Indonesia |
| Phone (Work) | [REDACTED] |
| Email (Work) | [REDACTED] |
| Website (Work) | [REDACTED] |
| Address | [REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED] |

Section 2 - Title & Summary

Q3. Title:


Capacity building for community-led adaptive coastal resources management


Q4a. Is this a resubmission of a previously unsuccessful application?


No

Please attach a cover letter.

Please include a response to any previous feedback in your cover letter.

 [Darwin Cover letter 2022 C&C](#)

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Q5. Summary

Please provide a brief summary of your project: the capability and capacity problem/need it is trying to address, its

aims, and the key activities you plan on undertaking. Please note that if you are successful, this wording may be used by Defra in communications e.g. as a short description of the project on the website.

Please write this summary for a non-technical audience.

This project will build the capability and capacity of community governance structures in five coastal villages in West Kalimantan, Indonesia, for adaptive management of mangrove and coastal fishery resources. Through the provision of trainings, technical support and tools, this project will support the governance bodies to adaptively manage local resources, for improved socio-ecological outcomes.

Section 3 - Title, Dates & Budget Summary

Q6. Country(ies)

Which eligible country(ies) will your project be working in? Where there are more than 4 countries that your project will be working in, please add more boxes using the selection option below.

| | | | |
|-----------|-------------|-----------|-------------|
| Country 1 | Indonesia | Country 2 | No Response |
| Country 3 | No Response | Country 4 | No Response |

Do you require more fields?

No

Q7. Project dates

| | | |
|---------------|-------------------|------------------------------------|
| Start date: | End date: | Duration (e.g. 1 years, 8 months): |
| 01 April 2023 | 30 September 2024 | 1 year, 6 months |

Q8. Budget summary

| Year: | 2023/24 | 2024/25 | Total request |
|---------|------------|------------|-----------------|
| Amount: | £70,100.00 | £42,050.00 | £ 112,150.00 |

Q9. Proportion of Darwin Initiative budget expected to be expended in eligible countries: %

Q10a. Do you have proposed matched funding arrangements?

Yes

What matched funding arrangements are proposed?

Match funding is already secured and will be provided by the Full Circle Foundation, who have been a long standing funder of Planet Indonesia.

Q10b. Total confirmed & unconfirmed matched funding (£)

£ [REDACTED]

Q10c. If you have a significant amount of unconfirmed matched funding, please clarify how you will fund the project if you don't manage to secure this?

No Response

Section 4 - Project need

Q11. The need that the project is trying to address

Please describe evidence of the capability and capacity need your project is trying to address with reference to biodiversity conservation and poverty reduction challenges and opportunities.

For example, how have you identified the need? Why should the need be addressed or what will be the value to the country? Please cite the evidence you are using to support your assessment of the need (references can be listed in a separate attached PDF document).

Indonesia is the world's second largest seafood producer and its small-scale fisheries account for about 92% of national production, underpinning the food security of an estimated 260 million people. Mangroves provide essential nursery grounds for fish and marine invertebrates, including many high value species, such as mud crab (*Scylla serrata*) and wild tiger prawn (*Penaeus monodon*), as well as many species that later migrate to coral reefs and the pelagic zone. The loss of mangroves poses an existential threat not only to mangrove-dependent fishing communities, but also to broader nearshore fisheries.

In Kubu Raya district, West Kalimantan, Planet Indonesia has been working with six coastal communities since about 2017. Five of the six villages have secured formal tenure rights over the mangrove forests, including management rights over the included fishery resources. Under Indonesia's social forestry scheme, this entails that village forest management institutions (LPHD's) become the formal management body, responsible for the governance of these community forests and fishery resources.

Planet Indonesia has established partnerships with each village, in response to direct requests for collaboration and support from the village government. While the LPHDs exist and have the legally recognised mandate, they remain ill-equipped and lacking the necessary capacity to fulfil their responsibilities. As of 2021, all villages have a rudimentary management plan, complete with no-take zones, temporal fishery management measures and gear restrictions. However the clear objectives, processes, tools and capacities to regularly evaluate and adapt management efforts are lacking, meaning there is no routine evaluation of results against objectives and the adaptation of management strategies as needed. Without adaptive management practises, the coastal communities of Kubu Raya will not know if their no take zones are working, if the mud crab fishery is sustainable or whether fisheries are providing the socioeconomic and ecological benefits that people want.

Adaptive natural resource management is recognised as best practise, drawing on traditional ecological knowledge as well as scientific knowledge and data, to inform ongoing management efforts. Grounding community-based natural resource management in adaptive management principles will ensure the long term viability of the resources and ecosystems upon which they depend. In the face of ever-unpredictable climate events, adaptable governance and management practices are the key to navigating an uncertain future.

Section 5 - Darwin Objectives and Conventions

Q12. Biodiversity Conventions, Treaties and Agreements

Q12a. Your project must support the commitments of one or more of the agreements listed below.

Please indicate which agreement(s) will be supported.

- Convention on Biological Diversity (CBD)
- United Nations Framework Convention on Climate Change (UNFCCC)
- Global Goals for Sustainable Development (SDGs)

Q12b. National and International Policy Alignment

Using evidence where available, please detail how your capability and capacity project will contribute to national policy (including NBSAPs, NDCs, NAP etc.) and in turn international biodiversity and development conventions, treaties and agreements that the country is a signatory of.

Project activities align with Indonesia's NDC to reduce greenhouse gas emissions from land-use change and the National Adaptation Plan to support vulnerable communities through adaptation measures. Activities will support the government's Social Forestry scheme, enhance community income, and reduce pressure on primary forests that lead to deforestation and land degradation (15). The Social Forestry scheme supports IPLCs to gain management rights over forests and acts as a potential 'other effective area-based conservation measures. Project activities are also in line with seven targets (2, 3, 11, 12, 14, 15, and 22) set under the Indonesian Biodiversity Strategy Action Plan (IBSAP) 2015-2020 (16).

Internationally, our work facilitates the realisation of multiple UNDP sustainable development goals that include,

Goal 1: No Poverty - Our Conservation Cooperatives approach provides households with resilient livelihood opportunities through a community-led savings and loans program, and supports the development of new income-generating enterprises through a community grants program meant for productive uses only.

Goal 3: Good Health and Wellbeing - By training local women as Health Ambassadors, we support the provision of family planning and health services that empower families to live happier and healthier lives.

Goal 5: Gender Equality - With 45% of our beneficiaries being women, we engage women in specific programs to strengthen existing livelihoods and promote new income-generating activities.

Goal 13: Climate Action - Through our work, we address both climate change mitigation and adaptation by catalysing sustainable, climate-smart and equitable development for communities.

Goal 14: Life below water - We support coastal communities to manage mangrove forests and sustainably manage mangrove forests by restoring mud crab, shrimp, and coastal fishery populations.

Goal 15: Life on land - We support agroforestry and reforestation work led by

Section 6 - Method, Change Expected, Gender & Exit Strategy

Q13. Methodology

Describe the methods and approach you will use to achieve your intended capability and capacity Outcome and contribute towards your Impact. Provide information on:

- How you have reflected on and incorporated **evidence and lessons learnt** from past and present similar activities and projects in the design of this project.
- The specific approach you are using, supported by **evidence** that it will be effective, and **justifying why you expect it will be successful** in this context.
- How you will undertake the work (activities, materials and methods).
- What the **main activities** will be and where these will take place.
- How you will **manage the work** (governance, roles and responsibilities, project management tools, risks etc.).
- What practical elements will be included to embed new capabilities?

Yayasan Planet Indonesia (YPI) will support five local governance bodies to adaptively manage their coastal resources. In Kubu Raya, the five villages we will work with under this project have all secured formal tenure rights over their mangrove forests through Indonesia's social forestry policy. The recognition of these tenure rights then also leads to the establishment of a village forest management institution, known as the 'LPHD' in Indonesia. The LPHD is a governance body composed of elected representatives responsible for managing the village forests and all resources within them, responsibly and sustainably. Through the development of a five year and annual work plan, the LPHD are supposed to plan and implement their activities. However, the LPHDs lack the experience and capacity needed for adaptive management meaning that the management of village forests is not grounded in objectives, indicators and regular monitoring & evaluation. The five year and annual workplans are not linked to long term management objectives and monitoring & evaluation activities, leading the villages without clarity on whether or not management is having any benefits.

Therefore, through training and technical support, we will increase the capacity of the LPHD in the five villages and support them to apply capacity, tools and knowledge to the adaptive management of their coastal resources. Ongoing support will be provided to the local governance institutions throughout the course of this project for the implementation, evaluation and adaptation of the management plans. The respective goals and activities underneath this project are as follows:

Goal 1: Build capacity for the development of adaptive management plans

Through training and technical support, YPI will build the theoretical and practical capacity of the local governance bodies for adaptive management planning. The capacity building efforts will focus on the LPHD's, who will then apply this knowledge and skills in the development of adaptive management frameworks. These trainings will be provided to the four villages together and then one village separately. This is because the first four villages have longer experience with fisheries and forest management activities, so their pre-existing capacity is higher than the fifth village. This will allow us to adjust the materials and approach to reflect the experience level.

We will also form a multi-village coordination forum, which will serve to coordinate and synchronise the management activities of the individual village's. As each village forest includes several fisheries like mud crab, shrimp and various fish species, these require coordinated management at a larger scale than just the village level. The respective population distribution of these species extends much further along the coast of West Kalimantan than an individual village forest area, so coordination of management efforts at the five village scale can ensure deeper impact.

Goal 2: Build capacity and experience for ongoing adaptive management and evaluation

Through training and technical support, YPI will develop the capacity of the LPHDs in implementation, monitoring & evaluation and adaptation of their management plans. Training will be customised based on the objectives and focus of their management plans, but will likely include fisheries dependent and independent monitoring methods, data interpretation and adaptive management principles. Regular monitoring & evaluation is often lacking in community-led natural resources management, so we want to train the LPHD's on the foundational skills and tools needed for regular monitoring & evaluation of their management plans. With a focus on data collection methods, data management and data interpretation, through trainings and ongoing technical support, this will provide the building blocks for adaptive management.

To date, there has been significant progress by the community to establish locally managed marine areas (LMMAs) that include fishery management measures, no take zones and gear restrictions. Although established, these LMMAs are not being adaptively managed nor are there indicators, monitoring methods and evaluation processes for regularly evaluating effectiveness and adapting strategies as needed. Reflecting on this, we recognise that the governance bodies do not have the capacity or knowledge of the adaptive management process, monitoring methodologies and evaluation processes. Therefore, priorities looking ahead include building the capacity of the LMMA governance institutions. We will ensure that the adaptive management framework is not too science heavy, creating space for the inclusion of traditional ecological knowledge. A perception indicator for example, would allow fishers, traders and others to share their perception of ecological and fishery health, independently of what fisheries data says.

Q14. How will you identify participants?

How did/will you identify and select the participants (individuals and organisations) to directly benefit from the capability and capacity building activities? What makes these the most suitable participants? How will you ensure that the selection process is unbiased, fair and transparent?

We will work with the village forest management institution, which is an elected governance body responsible for

managing the village forests under Indonesia's social forestry law. As they have the formal management mandate, our capacity building efforts will focus on them as the primary stakeholder responsible for adaptive resource management. However, as these will predominantly be men due to cultural norms in rural Indonesia, we will need to increase participation and representation from women to ensure gender representation. So as part of our approach, we will also specifically focus on increasing participation of women in our trainings and the eventual adaptive management planning, implementation and evaluation processes. In Kubu Raya, while most fishers and mangrove-based livelihoods are dominated by men, women play an under-valued role in the system. In Sungai Nibung, there is a community of women who harvest blood clams seasonally. Additionally, women play a large role in the processing of fisheries products, particularly in drying shrimp and fish products. Therefore, women are key stakeholders in the management of Kubu Raya's coastal resources.

Q15. Gender equality

All applicants must consider whether and how their project will contribute to reducing inequality between persons of different gender. Explain your understanding of gender equality within the context of your project, and how is it reflected in your plans. Please summarise how your capability and capacity project will contribute to reducing gender inequality. Applicants should, at a minimum, ensure proposals will not increase inequality and are encouraged to design interventions that proactively contribute to increased gender equality.

Gender equality within the context of resource governance is fundamental to good governance. Unfortunately in Indonesia, existing cultural norms and practices are barriers to increasing gender equality in natural resource governance. Leadership and governance functions are often filled by men, with limited representation from women. In coastal and fishery resource contexts, the roles of women are also often informal, with limited visibility and recognition, meaning that their needs and voice are often not included in management planning. Involved in the processing and sale of fishery products, they are undervalued as key stakeholders in fisheries management planning. To address this, Planet Indonesia focuses on ensuring representation and participation of women within the governance institutions it establishes and supports. Planet Indonesia creates equitable governance structures and provides basic healthcare, voluntary family planning services, and savings promotion that specifically seeks out and engages women and girls; over 60% of our beneficiaries thus far are women. Within this project, we will specifically focus on training and engaging women within resource monitoring and data collection activities. Our experiences so far, along with the experiences of our partners in other provinces, has shown us that this is a way of breaking down barriers for greater participation. Through increased capacity in fisheries monitoring for example, women become a trusted knowledge provider on fisheries landings and data at the village level and their responsibilities can bridge over into management planning discussions, which draw on fisheries landings data collected by the women. We will also focus on ensuring adequate representation of women within the management planning discussions planned under this project. To ensure that their voice, perspectives and needs are included in management planning for the coastal mangrove areas of Kubu Raya.

Q16. Change expected

Detail what the expected changes to in-country capability and capacity will deliver for both biodiversity and poverty reduction. You should identify what will change and who will benefit a) in the short-term (i.e. during the life of the project) and b) in the long-term (after the project has ended) and the potential to scale the approach.

When talking about how people will benefit, please remember to give details of who will benefit, differences in benefits by gender or other layers of diversity within stakeholders, and the number of beneficiaries expected. The number of communities is insufficient detail - number of households should be the largest unit used

In the short term, this project will build the knowledge, technical and practical skills of 30 community leaders in the development of an adaptive management plan while also involving at least 250 community members in the consultation process. This knowledge is key for the community governance bodies to be able to lead the consultation and planning process for developing adaptive coastal resource management frameworks. Additionally, this project will build the skills of 50 community members in the tools and methodologies needed for regular monitoring and evaluation of the management plans' key indicators. These skills, such as resource and ecological monitoring techniques, data collection, data management and data interpretation, are necessary for the community governance bodies to be able to independently monitor and evaluate progress against management objectives developed in the management frameworks. These monitoring and evaluation tools are what will enable the communities to adaptively manage their coastal resources.

In the long term, the knowledge and technical capacity development from this project will enable the ongoing adaptive

management of Kubu Raya's mangrove and coastal resources. Grounded in ecological, fishery and socio economic objectives this adaptive management framework will ensure the management of these coastal ecosystems and resources in ways that ensure ecological and socioeconomic prosperity. The sustainable management of coastal and fishery resources in Kubu Raya depends on effective and adaptive management practices, in order to deal with volatile, anthropogenic and climate related pressures.

The technical capacity and experience from this project will also remain within the institutional capacity of the village forest management bodies (LPHD's), ensuring that this is not just a one-off capacity building effort. As the capacity development is focussed on the current leadership as well as additional community members, these practices will remain embedded within the governance bodies' management practices and be handed over to future management teams.

Through future learning exchanges and transfer of best practice between these villages and other areas where we work, this technical knowledge and capacity can also be transferred to other communities.

Q17. Exit Strategy

How will the project reach a sustainable point and continue to deliver benefits post-funding?

How will the built capability and capacity be maintained in-country? How will the new capability and capacity be replicated to strengthen additional future environmental leaders beyond the project? How will the benefits be scaled? Are there any barriers to scaling and if so, how will these be addressed? How will the materials developed during the project be made more widely accessible during and after the project?

This project is focussed on building the capacity of the village forest management institution. As the government mandated body, this village institution has a legal mandate and government support for the indefinite management of the village forests. This ensures that all capacity development under this project is focussed on a village body that has both a legal mandate as well as government support for its activities and responsibilities.

The LPHD, through collaboration with the village government, is also able to secure budget from the village government for its activities. Although not an explicit focus under this project, this is part of our objectives in the area to embed all resource management activities under village institutions and village budgets.

Lastly, we are also in final negotiations with Lestari Capital, a payment for ecosystem services provider, to secure a PES contract for two of the villages under this project; Sungai Nibung and Mengkalang Jambu. If successful, this will see these two villages receive 25 years of funding for their forest management activities and work plans. This budget will cover operational expenses, forest patrols and some regular monitoring and evaluation activities. This ensures the long-term viability of their work and enables Planet Indonesia to step back from providing funding for their work.

If necessary, please provide supporting documentation e.g. maps, diagrams, references etc., as a PDF using the File Upload below:

No Response

Section 7 - Risk Management

Q18. Risk Management

Please outline the 6 key risks to achievement of your Project Outcome and how these risks will be managed and mitigated, referring to the [Risk Guidance](#). This should include at least one Fiduciary, one Safeguarding, and one Delivery Chain Risk.

Projects should also draft their initial risk register, using the [Risk Assessment template](#), and be prepared to submit this when requested if they are recommended for funding. Do not attach this to your application.

| Risk Description | Impact | Prob. | Gross Risk | Mitigation | Residual Risk |
|------------------|--------|-------|------------|------------|---------------|
|------------------|--------|-------|------------|------------|---------------|

| | | | | | |
|--|----------|-------|----------|---|-------|
| Fiduciary (financial) Funds are not managed properly leading to reduced programmatic impact | moderate | minor | minor | YPI has a robust and proven financial management system with multiple layers of safeguarding (TOR/BTOR system), multiple approval levels, and back to office reporting. We conduct both internal field audits and annual external audits | minor |
| Safeguarding Field staff and partners don't implement proper whistleblowing, health and safety, and safeguarding policies | major | minor | moderate | YPI continues to conduct intensive safeguarding training with staff, downstream with community partners, and upstream with government partners. | minor |
| Delivery Chain Community governance bodies fail to implement the adaptive management frameworks | moderate | minor | minor | YPI provides follow up support and advice post training to support the governance institution in the implementation of the adaptive management frameworks | minor |
| Risk 4 Resurgence of COVID 19 reduces ability for field visits and in person training. | moderate | minor | minor | YPI applies strict health and safety standards to all of its field work, to ensure minimal risk of Covid transmission and disruption to our fieldwork | minor |
| Risk 5 Government support for our work in these villages is withdrawn, resulting in a stop to planet Indonesia's support to the communities. | Major | minor | minor | YPI maintains close relationships with all government agencies that have oversight over the areas where we work. We have 5 year MOU's with the provincial fisheries department and the provincial conservation department. | minor |
| Risk 6 Community members don't support the management plans and refuse to support the governance bodies. | moderate | minor | minor | Planet Indonesia will train and support the governance institutions on organizing thorough community consultations, ensuring they follow the principle of Free Prior and Informed Consent in all management planning. A generous timeline will also be used to ensure there is adequate time for discussion and consultation. | minor |

Section 8 - Implementation Timetable





Q19. Provide a project implementation timetable that shows the key milestones in project activities

Provide a project implementation timetable that shows the key milestones in project activities, linking them to your Outputs. Complete the Word template as appropriate to describe the intended workplan for your project.

[Implementation Timetable Template](#)

Please add/remove columns to reflect the length of your project. For each activity (add/remove rows as appropriate)

indicate the number of months it will last, and fill/shade only the quarters in which an activity will be carried out.

 [BCF Implementation Timetable Template 2022-23 FI NAL.docx](#)
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 pdf 57.56 KB

Section 9 - Monitoring and Evaluation

Q20. Monitoring and evaluation (M&E)

Describe how the progress of the project will be monitored and evaluated, making reference to who is responsible for the project's M&E.


Darwin Initiative projects are expected to be adaptive, and you should detail how the monitoring and evaluation will feed into the improved delivery of the project including its management. M&E is expected to be built into the project and not an 'add' on. It is as important to measure for negative impacts as it is for positive impact. Additionally, please indicate an approximate budget and level of effort (person days) to be spent on M&E (see Finance Guidance).

Within YPI, monitoring and evaluation is integrated into the organisations' regular programmatic planning and evaluation processes. We use M&E at an organisational and project-specific level, to evaluate progress towards the organisations high level objectives as well as to manage project specific deliverables. We have 6-monthly and annual monitoring and evaluation processes whereby we monitor progress and evaluate our strategy and approach.

Each landscape has a specific landscape data dashboard that aggregates all YPI and community-collected data into a series of visualisations that represent high level metrics of our work.

Every six months, site leaders take the teams through a programmatic evaluation process to assess progress and challenges to date as well as priorities moving forward. Project logframes and work plans are reviewed, updated and adjusted as needed to reflect the current situation in the field. Annually, teams do a more in-depth evaluation of progress and adjust the strategy and plans for the year ahead. Each landscape also has its site-specific results chains that inform and guide our work, so these are reviewed and updated during this annual review as well.

Through these processes, monitoring and evaluation is baked into our way of working and our team regularly evaluates its work and adjusts our strategies and plans accordingly.

Total project budget for M&E in GBP (this may include Staff and Travel and Subsistence costs) £ 

Percentage of total project budget set aside for M&E 

Number of days planned for M&E 54

Section 10 - Indicators of Success

Q21. Indicators of success

Please outline the Outcome and Outputs of the project and how you will show that they have been achieved by using SMART indicators and milestones.

See the **Monitoring, Evaluation and Learning Guidance** for advice on selecting SMART indicators and milestones.

Please note that the number of participants in training is not an output, please consider how to measure the success of the training rather than participation in training.

In the table below please outline your Outcome and between 1-4 Outputs. Each statement should have between 2-3 SMART indicators and end target (figure/state/quality) including how you would evidence achievement – i.e. “Means of Verification”.

| | SMART Indicator | Means of Verification |
|---|---|--|
| Outcome Village governance bodies adaptively manage coastal resources with participation from the community | 1 multi-village coordination forum formed from five village governance bodies 5 Villages have an approved coastal resources management plan 28,000 ha of mangroves and coastal waters are managed in an adaptive manner | Minutes of establishment of the multi village coordination forum Approved coastal resources management plan document Meeting minutes from management plan evaluation meetings at the village level |
| Output 1 The coastal resources management plans are developed in a participatory way by the village governance bodies with participation from the community | 5 approved coastal resources management plans 250 communities members who use mangrove forests and marine areas actively contribute to the preparation of natural resource management plans | Approved coastal resources management plan document Attendance list for community meetings Work Plan for development of the adaptive management plan |
| Output 2 Active participation of community stakeholders in monitoring & evaluation of ecosystems and species prioritised in the management plans | Five villages develop a joint monitoring and evaluation plan through the multi-village forum 50 community members increase their capacity in natural resource management, monitoring and data collection (50% women) | Monitoring & evaluation work plan from the multi-village coordination forum Data collection and monitoring database List of capacity building participants |
| Output 3 <i>No Response</i> | <i>No Response</i> | <i>No Response</i> |
| Output 4 <i>No Response</i> | <i>No Response</i> | <i>No Response</i> |

Activities

Each activity is numbered according to the Output that it will contribute towards, for example, 1.1, 1.2, 1.3 are contributing to Output 1.

Output 1

1.1 Training of governance bodies in the preparation of adaptive natural resource management plans

1.2 Meetings with the communities to determine target species, select indicators and agree on management strategies

- 1.3 Meetings between YPI and the governance bodies in the process of preparing management documents
- 1.4 Feedback and public consultation on the natural resource management plans
- 1.5 Formal agreement and ratification of management plans

Output 2

- 2.1 Development of multi-village coordination forum, for collaboration and communication between villages governance bodies
- 2.2 Preparation of work plans and monitoring & evaluation protocols with governance body forums
- 2.3 Trainings on coastal resources monitoring and evaluation, data collection and data interpretation
- 2.4 Feedback and evaluation of data collection and monitoring results (bi-annual)

Important Assumptions:

Please describe up to 6 key assumptions that, if held true, will enable you to deliver your Outputs and Outcome.

1. Community members are willing to participate in management planning consultations and contribute their perspectives
2. The communities want to ensure the ongoing sustainability and existence of fishery and coastal resources
3. Village government supports the village forest management institution in its work to manage the coastal resources and mangrove forests
4. Community members and neighboring communities respect the management measures that communities agree on and implement.

Section 11 - Budget and Funding

Q22. Budget

Please complete the appropriate Excel spreadsheet, which provides the Budget for this application. Some of the questions earlier and below refer to the information in this spreadsheet.





Note that there are different templates for projects requesting under £100,000 and over £100,000. Please refer to the [Finance Guidance](#) for more information.

- [Budget form for projects under £100,000](#)
- [Budget form for projects over £100,000](#)

Please ensure you include any co-financing figures in the Budget spreadsheet to clarify the full budget required to deliver this project.

NB: Please state all costs by financial year (1 April to 31 March) and in GBP. The Darwin Initiative cannot agree any increase in grants once awarded.

Please upload the Lead Partner's accounts (or other financial evidence – see Finance Guidance) at the certification page at the end of the application form.

 [BCF-Budget-over-£100k YPI 04.11.22](#)
 07/11/2022
 02:37:15
 xlsx 94.23 KB

Q23. Funding

Q23a. Is this a new initiative or does it build on existing work (delivered by anyone and funded through any source)?

Development of existing work

Please provide details:

This initiative builds on existing work in the landscape that we have been developing since 2018, including under a previous Darwin Grant. The initial Darwin Grant was focussed on supporting communities to organize temporary mud crab fishery closures and develop a locally managed marine area. We have also in the past gotten additional funding from Defra's International Climate Fund, in partnership with Blue Ventures and from the Full Circle Foundation for continued work in these villages including the provision of health services and the development of savings & loans groups.

This proposed initiative builds on all of this previous work and focuses on key capacity gaps. Recognizing the limitations of previous approaches and having identified priority areas capacity development needs moving forwards, this project proposal addresses all of these things.

Q23b. Are you aware of any current or future plans for similar work to the proposed project?

No

Q24. Capital items

If you plan to purchase capital items with Darwin funding, please indicate what you anticipate will happen to the items following project end. If you are requesting more than 10% capital costs, please provide your justification here.

None

Q25. Value for Money

Please demonstrate why your project is good value for money in terms of impact and cost-effectiveness of each pound spend (economy, efficiency, effectiveness and equity). Please make sure you read the guidance documents, before answering this question.

Planet Indonesia's main office and all of the field staff are located close to the field sites, only a 2 hour boat ride away. Once in the field, Planet Indonesia has a field office from which we operate. Staff also spend 15 days per month in the field, allowing us to maximise travel time and budget towards delivering on project goals. Additionally, by building the capacity of village level governance bodies and stakeholders, this project will contribute towards the effective and sustainable management of 28,000 hectares of mangrove forest and coastal waters. This project will have a direct impact on the improved management effectiveness of these coastal resources, through the training and support around adaptive management frameworks and monitoring and evaluation.

Section 12 - Safeguarding and Ethics

Q26. Safeguarding

Projects funded through the Darwin Initiative must fully protect vulnerable people all of the time, wherever they work. In order to provide assurance of this, projects are required to have appropriate safeguarding policies in place.

Please confirm the Lead Partner has the following policies in place and that these can be available on request:

Please upload the Lead Partner's Safeguarding Policy as a PDF on the certification page.

| | |
|--|---------|
| We have a safeguarding policy, which includes a statement of our commitment to safeguarding and a zero tolerance statement on bullying, harassment and sexual exploitation and abuse | Checked |
| We have attached a copy of our safeguarding policy to this application (file upload on certification page) | Checked |
| We keep a detailed register of safeguarding issues raised and how they were dealt with | Checked |
| We have clear investigation and disciplinary procedures to use when allegations and complaints are made, and have clear processes in place for when a disclosure is made | Checked |
| We share our safeguarding policy with all partners | Checked |
| We have a whistle-blowing policy which protects whistle blowers from reprisals and includes clear processes for dealing with concerns raised | Checked |
| We have a Code of Conduct for staff and volunteers that sets out clear expectations of behaviours - inside and outside the work place - and make clear what will happen in the event of non-compliance or breach of these standards | Checked |

Please outline how you will implement your safeguarding policies in practice and ensure that all partners apply the same standards as the Lead Partner. If any of the responses are “no”, please indicate how it is being addressed.

We uphold the highest standards in safeguarding and compliance. We believe in passing lessons learned and protocols both up and downstream through all grant processes. Therefore, through our tailored support and mentoring to the community institutions we work with, we will include specific sessions on safeguarding and how this applies to our project. This contributes to the long-term sustainability of our project by helping local community institutions strengthen their understanding of safeguarding in the context of conservation work.

Section 13 - FCDO Notifications

Q27. FCDO Notifications

Please state whether there are sensitivities that the Foreign Commonwealth and Development Office will need to be aware of should they want to publicise the project’s success in the Darwin Initiative in any country.

No

Please indicate whether you have contacted FCDO Embassy or High Commission to discuss the project and attach details of any advice you have received from them.

No

If no, why not?

We are currently communicating with LTS so that YPI can increase their communication and collaboration with the FCDO.

Section 14 - Project Staff

Q28. Project staff

Please identify the core staff (identified in the budget), their role and what % of their time they will be working on the project.

Please provide 1-page CVs or job description, further information on who is considered core staff can be found in the

Finance Guidance.

| Name (First name, Surname) | Role | % time on project | 1 page CV or job description attached? |
|----------------------------|----------------------------|-------------------|--|
| Adam Miller | Project Leader | 5 | Checked |
| Novia Sagita | Deputy Project Leader | 5 | Checked |
| Miftah Achid | Chief Program Officer | 10 | Checked |
| Rodiansyah | Manager Technical Division | 20 | Checked |

Do you require more fields?


Yes


| Name (First name, Surname) | Role | % time on project | 1 page CV or job description attached? |
|----------------------------|---|-------------------|--|
| Devya Widhiyanti | Chief Operation Officer | 5 | Checked |
| Deky | Technical Supervisor Governance | 40 | Checked |
| Modestus Hut | Technical Assistant Surveillance and Protection | 40 | Checked |
| Ripin | Technical Supervisor Social Forestry | 40 | Checked |
| Helmy Wurlianty | Technical Lead Fisheries | 30 | Checked |
| No Response | No Response | 0 | Unchecked |
| No Response | No Response | 0 | Unchecked |
| No Response | No Response | 0 | Unchecked |

Please provide 1 page CVs (or job description if yet to be recruited) for the project staff listed above as a combined PDF.

Ensure the file is named clearly, consistent with the named individual and role above.

 22 Darwin C&C team CVs

 07/11/2022

 02:32:58

 pdf 912.69 KB

Have you attached all project staff CVs?

Yes

Section 15 - Project Partners

Q29. Project Partners

Please list all the Project Partners (including the Lead Partner) – i.e. the partner who will administer the grant and coordinate the delivery of the project), clearly setting out their roles and responsibilities in the project and the extent of their engagement so far and planned.

This section should demonstrate the capability and capacity of the Project Partners to successfully deliver the project. Please provide Letters of Support for all project partners or explain why this has not been included.

Lead Partner name: Yayasan Planet Indonesia

Website address: www.planetindonesia.org

Why is this organisation the Lead Partner, and what value to they bring to the project? (including roles, responsibilities and capabilities and capacity): YPI is an award winning grassroots conservation organization based in Indonesia. YPI has won awards with Future For Nature, UNDP, UN Women, Dubai World Expo, St. Andrews Prize for the Environment, among others. We have also completed Darwin and IWT Challenge Fund projects with high scores (A+ score). YPI has the technical capacity, financial management capacity, and stakeholder relations to lead on this project. YPI, as a national NGO, can work more efficiently than BINGOs and INGOs that often have high overhead and dependency on international branches for oversight

International/In-country Partner In-country

Allocated budget (proportion or value): £ [REDACTED]

Represented on the Project Board (or other management structure) Yes

Have you included a Letter of Support from this partner? Yes


Do you have partners involved in the Project?

No

Please provide a combined PDF of all letters of support.

 [Darwin Support letter 2022 C&C](#)

 07/11/2022

 05:54:12

 pdf 187.65 KB

Section 16 - Lead Partner Capability and Capacity

Q30. Lead Partner Capability and Capacity

Has your organisation been awarded Darwin Initiative, Darwin Plus or Illegal Wildlife Trade Challenge Fund funding before (for the purposes of this question, being a partner does not count)?

Yes

If yes, please provide details of the most recent awards (up to 6 examples).

| Reference No | Project Leader | Title |
|--------------------|--------------------|---|
| 4105 | Ben Fitzpatrick | Restoring coastal fisheries through sustainable development in Indonesia |
| IWT077 | Adam Miller | Reducing illegal wildlife trade through a community-based conservation approach |
| CV19RR09 | Adam Miller | Conditional Cash Transfers to Reduce IWT from COVID-19 |
| IWT112 | Adam Miller | A community-based conservation approach to combat marine illegal wildlife trade |
| <i>No Response</i> | <i>No Response</i> | <i>No Response</i> |
| <i>No Response</i> | <i>No Response</i> | <i>No Response</i> |

Have you provided the requested signed audited/independently examined accounts (or other financial evidence - see Finance Guidance)?

If yes, please upload these on the certification page. Note that this is not required from Government Agencies.

Yes

Section 17 - Certification

Q30. Certification

On behalf of the

Company

of

Yayasan Planet Indonesia

I apply for a grant of

£112,150.00





I certify that, to the best of our knowledge and belief, the statements made by us in this application are true and the

information provided is correct. I am aware that this application form will form the basis of the project schedule should this application be successful.





(This form should be signed by an individual authorised by the applicant institution to submit applications and sign contracts on their behalf.)

- I have enclosed CVs for key project personnel, a cover letter, letters of support, a budget, Safeguarding Policy and project implementation timetable
- Our last two sets of signed audited/independently verified accounts and annual report (or other financial evidence – see Finance Guidance) are also enclosed.









Checked

| | |
|--|---|
| Name | Adam Miller |
| Position in the organisation | Executive Director |
| Signature (please upload e-signature) |  esignature Adam 1  03/11/2022  08:25:56  jpg 18.76 KB |
| Date | 07 November 2022 |

Please attach the requested signed audited/independently examined accounts or other financial evidence (see Finance Guidance)

 [Financial Audit YPI 2019-2021 compressed 2](#)
 03/11/2022
 08:23:45
 pdf 2.03 MB

Please upload the Lead Partner's Safeguarding Policy as a PDF

| | |
|---|---|
|  YPI Code of Conduct Protection of children vulnerable adults English --.docx  03/11/2022  08:24:14  pdf 238.36 KB |  SOP Whistleblowing YPI  03/11/2022  08:24:14  pdf 130.67 KB |
|---|---|

Section 18 - Submission Checklist

Checklist for submission

| | Check |
|---|---------|
| I have read the Guidance, including the “Darwin Initiative Guidance”, “Monitoring Evaluation and Learning Guidance”, “Risk Management Guidance”, and “Finance Guidance”. | Checked |
| I have read, and can meet, the current Terms and Conditions for this fund. | Checked |

| | |
|--|---------|
| I have provided actual start and end dates for the project. | Checked |
| I have provided my budget based on UK government financial years i.e. 1 April - 31 March and in GBP. | Checked |
| I have checked that our budget is complete, correctly adds up and I have included the correct final total at the start of the application. | Checked |
| The application been signed by a suitably authorised individual (clear electronic or scanned signatures are acceptable). | Checked |
| I have attached the below documents to my application: | Checked |
| <ul style="list-style-type: none"> • My budget (which meets the requirements above) | |
| <ul style="list-style-type: none"> • My completed implementation timetable as a PDF using the template provided | Checked |
| <ul style="list-style-type: none"> • I have included a 1 page CV or job description for all the Project Staff identified at Question 28, including the Project Leader, or provided an explanation of why not. | Checked |
| <ul style="list-style-type: none"> • A letter of support from the Lead Partner and partner(s) identified at Question 29, or an explanation of why not. | Checked |
| <ul style="list-style-type: none"> • I have included a cover letter from the Lead Partner, outlining how any feedback received 1 has been addressed where relevant. | Checked |
| <ul style="list-style-type: none"> • I have included a copy of the Lead Partner's safeguarding policy, which covers the criteria listed in Question 26. | Checked |
| <ul style="list-style-type: none"> • I have included a signed copy of the last 2 annual report and accounts for the Lead Partner (or other financial evidence – see Finance Guidance), or provided an explanation if not. | Checked |
| (If copying and pasting into Flexi-Grant) I have checked that all my responses have been successfully copied into the online application form. | Checked |
| I have been in contact with the FCDO in the project country/ies and have included any evidence of this. If not, I have provided an explanation of why not. | Checked |
| I have checked the Darwin Initiative website immediately prior to submission to ensure there are no late updates. | Checked |
| I have read and understood the Privacy Notice on the Darwin Initiative website. | Checked |

We would like to keep in touch!

Please check this box if you would be happy for the lead applicant (Flexi-Grant Account Holder) and project leader (if different) to be added to our mailing list. Through our mailing list we share updates on upcoming and current application rounds under the Darwin Initiative and our sister grant scheme, the IWT Challenge Fund. We also provide occasional updates on other UK Government activities related to biodiversity conservation and share our quarterly project newsletter. You are free to unsubscribe at any time.

Unchecked

Data protection and use of personal data

Information supplied in the application form, including personal data, will be used by Defra as set out in the **Privacy Notice**, available from the [Forms and Guidance Portal](#).

This **Privacy Notice must be provided to all individuals** whose personal data is supplied in the application form. Some information may be used when publicising the Darwin Initiative including project details (usually title, lead partner, project leader, location, and total grant value).

Project Title: Capacity building for community-led adaptive coastal resources management

Guidance – please delete before submitting

Provide a **Project Implementation Timetable** that shows the key milestones in project activities. Complete the following table as appropriate to describe the intended workplan for your project. Quarters are based on UK FYs (**1 April – 31 March** - Q1 therefore starts April 2023).

Please add/remove columns to reflect the length of your project. For each activity (add/remove rows as appropriate) indicate the number of months it will last, and shade only the quarters in which an activity will be carried out. The activity numbers should correspond to the activities in your logical framework (logframe). The workplan can span multiple pages if necessary.

This template covers multiple Biodiversity Challenge Funds schemes, so ensure you check the eligible dates/project length for the scheme you are applying to and feel free to delete later years if not applicable for your project.

| | Activity | No. of months | Year 1 (23/24) | | | | Year 2 (24/25) | | | | Year 3 (25/26) | | | | Year 4 (26/27) | | | | Year 5 (27/28) | | | |
|----------|---|---------------|----------------|----|----|----|----------------|----|----|----|----------------|----|----|----|----------------|----|----|----|----------------|----|----|----|
| | | | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 | Q1 | Q2 | Q3 | Q4 |
| Output 1 | The coastal resources management plans are developed in a participatory way by the village governance bodies with participation from the community | | | | | | | | | | | | | | | | | | | | | |
| 1.1 | Training of governance bodies in the preparation of adaptive natural resource management plans | 2 | x | | | | | | | | | | | | | | | | | | | |
| 1.2 | Meetings with the communities to determine target species, select indicators and agree on management strategies | 3 | x | x | | | | | | | | | | | | | | | | | | |
| 1.3 | Meetings between YPI and the governance bodies in the process | 2 | | | x | | | | | | | | | | | | | | | | | |

Project Title: Capacity building for community-led adaptive coastal resources management

| | Activity | No. of months | Year 1 (23/24) | | | | Year 2 (24/25) | | | | Year 3 (25/26) | | | | Year 4 (26/27) | | | | Year 5 (27/28) | | | |
|----------|--|---------------|----------------|-----|-----|-----|----------------|-----|-----|-----|----------------|-----|-----|-----|----------------|-----|-----|-----|----------------|-----|-----|-----|
| | | | Q 1 | Q 2 | Q 3 | Q 4 | Q 1 | Q 2 | Q 3 | Q 4 | Q 1 | Q 2 | Q 3 | Q 4 | Q 1 | Q 2 | Q 3 | Q 4 | Q 1 | Q 2 | Q 3 | Q 4 |
| | of preparing management documents | | | | | | | | | | | | | | | | | | | | | |
| 1.4 | Feedback and public consultation on the natural resource management plans | 2 | | | x | | | | | | | | | | | | | | | | | |
| 1.5 | Formal agreement and ratification of management plans | 1 | | | x | | | | | | | | | | | | | | | | | |
| Output 2 | Active participation of community stakeholders in monitoring & evaluation of ecosystems and species prioritised in the management plans | | | | | | | | | | | | | | | | | | | | | |
| 2.1 | Development of multi-village coordination forum, for collaboration and communication between villages governance bodies | 1 | | | x | | | | | | | | | | | | | | | | | |
| 2.2 | Preparation of work plans and monitoring & evaluation protocols with governance body forums | 1 | | | x | | | | | | | | | | | | | | | | | |
| 2.3 | Trainings on coastal resources monitoring and evaluation, data collection and data interpretation | 2 | | | | x | | | | | | | | | | | | | | | | |
| 2.4 | Feedback and evaluation of data collection and monitoring results (bi-annual) | 2 | | | | | x | x | | | | | | | | | | | | | | |